

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

JC

MAY. 10. 2004 2:55PM GLAXO WELLCOME

NO. 3579 P. 1

FAX



To Office of Initial Patent Examination's
Filing Receipt Correction

Company USPTO

Fax 703-746-9195

From Marjorie J. Pfeiffer

Tel 1-919-483-9038; Facsimile: 1-919-483-7988

E-mail marjorie.j.pfeiffer@gsk.com

Date May 10, 2004 **Pages including cover** 5

Subject Request for Corrected Filing Receipt

GlaxoSmithKline
PO Box 13398
Five Moore Drive
Research Triangle Park
North Carolina 27709

Tel: 919 483 2100
www.gsk.com

Re: Application of Susan CHUBINSKAYA et al.
U.S. Serial No.: 10/659,801; Filed: September 10, 2003
Group Art: 1644
Title: *Methods and Compositions Related To Modulators of Annexin and Cartilage Homeostasis*
Attorney Docket No. PU3680US3

Attached:

1. Request for Corrected Filing Receipt (with a Certificate of Transmission (37 CFR 1.8(a))
2. Copy of updated Filing Receipt
3. Copy of Express Mail Label EV330916350US, date stamped Sept. 10, 2003

The information contained in these documents is confidential and may also be privileged and is intended for the exclusive use of the addressee designated above. If you are not the intended recipient or the employee or agent responsible to deliver it to the intended recipient, any disclosure, reproduction, distribution, or any other dissemination or use of this communication is strictly prohibited. If you have received this transmission in error please contact us immediately by telephone so that we can arrange for its return.

Atty. Dkt. No. PU3680US3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Susan Chubinskaya et al.
 Serial No.: 10/659,801
 Filed: September 10, 2003
 For: *Methods and Compositions
 Related to Modulators of Annexin
 and Cartilage Homeostasis*

Unit: 1644
 Examiner:

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data, which is:

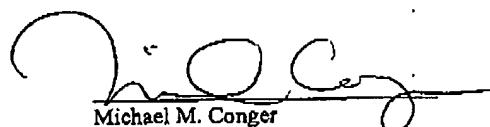
3. incorrectly entered and/or omitted.

Error in

1. Applicant's name
2. Applicant's address
3. Title
4. Filing date
5. Serial Number
6. Foreign/PCT Application Re:
7. Other:

Correct data

- 1.
- 2.
- 3.
4. 09/10/2003 (copy of Express Mail Label, date stamped Sept 10 2003, is attached)
- 5.
- 6.
- 7.



Michael M. Conger
 Registration No.: 43,562

Date: 10 May 2004
 GlaxoSmithKline
 Five Moore Drive, P.O. Box 13398
 Research Triangle Park, NC 27709
 Phone: 919-483-2474
 Fax: 919-483-7988

CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being facsimile transmitted or deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date: MAY 10, 2004



MARJORIE J. PEIFFER

MAY. 10, 2004 2:55PM GLAXO WELLCOME

NO. 3579 Page 3 of 2



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

(PM) MMC/Mai

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/659,801	09/11/2003	1644	894	PU3680US3	3	28	1

CONFIRMATION NO. 5240

23347
DAVID J LEVY, CORPORATE INTELLECTUAL PROPERTY
GLAXOSMITHKLINE
FIVE MOORE DR., PO BOX 13398
RESEARCH TRIANGLE PARK, NC 27709-3398

FILING RECEIPT

OC000000011430780

Date Mailed: 12/05/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Susan Chubinskaya, Vernon Hills, IL;
Jeff Hutchins, Chapel Hill, NC;
Juergen Mollenhauer, Eisenberg, GERMANY;
Francis X. Tavares, Durham, NC;
Stephen A. Thomson, Durham, NC;
Jennings F. Worley, Durham, NC;

DEC 11 2003

Domestic Priority data as claimed by applicant

This application is a DIV of 09/745,989 12/21/2000 PAT 6,649,366
which claims benefit of 60/173,692 12/29/1999

Foreign Applications

If Required, Foreign Filing License Granted: 12/05/2003

Projected Publication Date: 03/18/2004

Non-Publication Request: No

Early Publication Request: No

Title

Methods and compositions related to modulators of annexin and cartilage homeostasis

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

MAY. 10, 2004 2:56PM

GLAXO WELLCOME

NO. 3579 P. 5

DOCKET No. PUS3680US3, Date Mailed 9/10/03 Atty/Secy mmc/mjp
 MAILING: CERTIFICATE/ EXPRESS MAIL # EV330916350US
 U.S. Serial No.: TO BE ASSIGNED Filing Date: CONCURRENTLY HEREWITHE
 Int'l App. No.: _____ Int'l Filing Date: _____

REWITH

RECEIPT IS ACKNOWLEDGED FOR THE FOLLOWING:

Appln Transmit +1 copy for: Provisional CIP
 Utility CONTIN DIV RCE TRADEMARK
 Specification 50 pgs Abstract 1 pgs
 Decls 2 pgs Powers of Atty pgs
 Drawings 3 Sheet(s)/Figs 1 to 4
 Assignment pgs & Recordation Cover Sheet
 Transmit Ltr Natl Stage Entry +fee pg (3pgs)
 Information Disclosure Statement
 Form PTO-1449 2 pgs. References
 Amendment Response pgs
 Petition for month Extension of Time +1 copy
 Issue Fee Trans. (Part B) + 1 copy
 Copy of Notice to File Missing Parts
 Request for Nonpublication (1 pg)
 Authorization to Charge Dep. Acct. # 07-1392

22264 U.S. PTO
 10/659801
 09/11/03

PCM | 1 PM



Mailing Label

11-F June 2002

Customer Copy

Label 11-F June 2002

Recipient

EV 330916350 US			EXpress MAIL		
ORIGIN (POSTAL USE ONLY)			UNITED STATES POSTAL SERVICE		
PO ZIP Code <u>37101</u>	Day of Delivery <input checked="" type="checkbox"/> Next <input type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>	Mo. <u>10</u> Day <u>05</u>	Postage <u>\$1.65</u>	Employee Signature <u>602</u>
Date In <u>9/10/03</u>	<input checked="" type="checkbox"/> 12 Noon <input type="checkbox"/> 8 PM	Return Receipt Fee <input type="checkbox"/>	Time In, M.D. <u>10:00 AM</u>	Military <input type="checkbox"/>	Delivery Attempt <input type="checkbox"/>
Weight <u>.13</u>	Int'l Alpha Country Code <input type="checkbox"/>	COD Fee <input type="checkbox"/>	Mo. <u>10</u> Day <u>05</u>	AM <input type="checkbox"/> PM <input type="checkbox"/>	Employee Signature <input type="checkbox"/>
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Accepted by Clerk Initials <u>JWJ</u>	Total Postage & Fees <u>\$1.65</u>	Delivery Date <input type="checkbox"/>	AM <input type="checkbox"/> PM <input type="checkbox"/>	Employee Signature <input type="checkbox"/>
CUSTOMER USE ONLY			DELIVERY (POSTAL USE ONLY)		
METHOD OF PAYMENT <u>Express Mail Corporate Acct. No.</u>			<p><input type="checkbox"/> PAYMENT BY SIGNATURE (Postage Only) Additional merchandise insurance is valid if article of merchandise is transported with delivery or be made without obtaining signature of recipient or acceptance of return if delivery employee judges that article can be left in secure location for individual delivery. Signature of signature indicates value, prompt or ordinary.</p> <p><input type="checkbox"/> NO DELIVERY (Without Return) Customer Signature</p>		
			Federal Agency Acct. No. or Postal Service Acct. No.		
FROM: (PLEASE PRINT) <u>MMG/MJP</u>			TO: (PLEASE PRINT) <u>MAIL STOP PATENT APPLICATION</u>		
PHONE: <u>(319) 438-2202</u>			PHONE: <u>(319) 438-2202</u>		
<p>PRESS HARD. You are making 3 copies.</p> <p>FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com</p>					